

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application. No.: Not Yet Assigned Confirmation No. Not Yet Assigned

Applicant : Michael STRASSER, et al.

Filed : 04/06/2006

TC/A.U. : Not Yet Assigned

Examiner : Not Yet Assigned /LZ/

Docket No. : 011235.57476US

Customer No. : 23911

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

**I. Time Period of Submission**

This Information Disclosure Statement is submitted:

1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

a Statement under 37 C.F.R. § 1.97(e); or

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

4) within 30 days of receipt by any individual designated in 37 C.F.R. § 1.56(c) of a communication from a foreign patent office in a counterpart application in which each item of information contained in this information disclosure statement was first cited, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.704(d).

## II. Statement Under 37 C.F.R. § 1.97(e)

I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

## III. Submission of Non-English Language Documents

The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

The relevance of document(s) DE 198 24 792 A1, DE 3883 857 T2 to the subject matter of the present invention is/are provided in the specification of the above-identified application.

Corresponding foreign or international report(s) citing document(s) \_\_\_\_\_, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

An English language family member of document(s) DE 3883  
857 T2 is/are noted on Form PTO-1449.

English language abstract(s) is/are submitted for document(s)  
as noted on the Form 1449.

English translation(s) of the foreign language document(s)  
is/are submitted herewith.

Applicant submits the following explanations:

**IV. Continuations/Divisionals/PCT National Stage Applications**

Documents \_\_\_\_\_ were of record in parent application Serial No.  
\_\_\_\_\_, filed \_\_\_\_\_, from which this application claims benefit. As  
provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided  
since they were previously submitted to or cited by the United States Patent and  
Trademark Office in the afore-mentioned parent application.

Copies of document(s) listed on the attached form PTO-1449 have  
already been provided by the International Searching Authority, therefore,  
duplicate copies are not attached hereto.

The submission of the listed documents is not intended as an admission  
that any such document constitutes prior art against the claims of the present  
application. Applicant does not waive any right to take any action that would be  
appropriate to antedate or otherwise remove any listed document as a competent  
reference against the claims of the present application.

10/574731

IAP15 Rec'd PCT/PTO 06 APR 2006

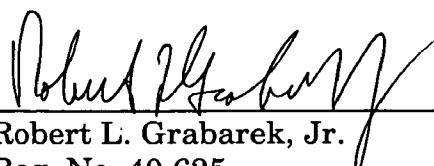
If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 011235.57476US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

CROWELL & MORING LLP

Dated: April 6, 2006

By



Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Attachments

Intellectual Property Group  
P.O. Box 14300  
Washington, D.C. 20044-4300

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known <b>10/57473</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(use as many sheets as necessary)			
		Application Number	<b>Not Yet Assigned</b>
		Filing Date	<b>04/06/2006</b>
		First Named Inventor	<b>Michael STRASSER</b>
		Art Unit	<b>Not Yet Assigned</b>
		Examiner Name	<b>Not Yet Assigned</b>
Sheet	<b>5</b>	of	<b>5</b>
		Attorney Docket Number	
		<b>011235.57476US</b>	

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		<b>GB 2 210 387</b>	<b>06-07-1989</b>	<b>Rolls-Royce PLC</b>		
		<b>GB 779 972</b>	<b>07-24-1957</b>	<b>General Motors Corporation</b>		
		<b>GB 1 186 924</b>	<b>04-08-1970</b>	<b>O.N.E.R.A.</b>		
		<b>GB 1 288 117</b>	<b>09-06-1972</b>	<b>O.N.E.R.A.</b>		
		<b>EP 0 837 153</b>	<b>04-22-1998</b>	<b>United Technologies Corporation</b>		
		<b>EP 0 294 987</b>	<b>12-14-1988</b>	<b>Exxon Research and Engineering Company</b>		
		<b>DE 198 24 792</b>	<b>12-16-1999</b>	<b>Motoren Turbinen Union</b>		abstract
		<b>DE 3883 857</b>	<b>01-05-1994</b>	<b>Exxon Research and Engineering Company</b>		Abstract of Family Member EP 0 294 987

## **OTHER DOCUMENTS**

Examiner Signature	/Lois Zheng/	Date Considered	02/12/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LZ/